

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3



In re the Application of:

Inventor: **TERUHIRO YAMADA, MUTSUMI IKEDA, ATSUSHI MAEDA and TSUGUFUMI MATSUOKA**

Application No.: **09/822,798**

Filed: **March 30, 2001**

For: **USER SUPPORT APPARATUS AND SYSTEM USING AGENTS**

) Group Art Unit: **2152**

) Examiner:

TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f)**, which was mailed by the Patent Office on May 10, 2001, enclosed are:

- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)
- ☒ Declaration
- ☒ Power of Attorney: ☒ Separate or ☐ Combined with Declaration
- ☒ Four (4) Assignments of the invention to SANYO ELECTRIC CO., LTD. (together with PTO Forms 1595)
- ☐ Certified Copy of Priority Document No(s): _____

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents, Washington, D.C. 20231.

Date: **July 10, 2001**

By

ROBERT E. LYON, Reg. No. 24,171

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$195.00	\$390.00
<input type="checkbox"/> three months	\$445.00	\$890.00
<input type="checkbox"/> four months	\$695.00	\$1,390.00
<input type="checkbox"/> five months	\$945.00	\$1,890.00

Fee

☒ If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

☐ Applicant claims small entity status pursuant to 37 CFR 1.27.

BASIC FILING FEE:						\$710.00
Total Claims	39	-	20	=	19 x \$18.00	\$342.00
Independent Claims	12	-	3	=	9 x \$80.00	\$720.00
Multiple Dependent Claims	\$270	(if applicable)			<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS						\$1,902.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.						\$0.00
Extension of Time (from above)						\$0.00
Assignment -- \$40 (if applicable)						\$160.00
TOTAL FEES SUBMITTED HEREWITH						\$2,062.00

IV. METHOD OF PAYMENT OF FEES:


- ☒ A check in the amount of \$2,062.00 is enclosed to cover the above fee(s).
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of _____.
- ☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: July 10, 2001

By


ROBERT E. LYON
Reg. No. 24,171



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/822,798	03/30/2001	Teruhiro Yamada	263/128

CONFIRMATION NO. 9163

FORMALITIES LETTER



OC000000006063868

22249
LYON & LYON LLP
SUITE 4700
633 WEST FIFTH STREET
LOS ANGELES, CA 90071-2066

Date Mailed: 05/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1062.
 - \$342 for 19 total claims over 20.
 - \$720 for 9 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1902.

*A copy of this notice **MUST** be returned with the reply.*

NK
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/17/2001 HBD11 00000048 09822798
01 FC:101 710.00 DP
02 FC:102 720.00 DP
03 FC:103 342.00 DP
04 FC:105 130.00 DP